

TELEFAX COVER SHEET

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TO: Commissioner for Patents
FAX NO.: (703) 872-9311
FROM: Keith Taboada, Esq.
DATE: February 21, 2003
MATTER: Serial No. 09/494,327 Filed: January 29, 2000
DOCKET NO.: 4209
APPLICANT: Kwan, et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

☐ Petition
☐ Disclosure Statement & PTO-1449
☐ Priority Document
☐ Drawings (sheets) informal
☒ Preliminary Amendment (12 pages)

☒ CPA Request Transmittal Letter (2 copies)
☐ Fee Transmittal (2 copies)
☒ Deposit Account Transaction
☒ Facsimile Transmission Certificate
dated February 21, 2003
☒ Receipt for Fax Transmitted CPA

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Allyson M. DeVesty
Name of person signing this certificate

Allyson M. DeVesty 2-21-03
Signature and date

PTO/SB/28A (08-00)

Approved for use through 10/31/2002. OMB 0661-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| If this RECEIPT is included with a request for a CPA filed by facsimile transmission, it will be date stamped and mailed to the ADDRESS in item 1. | | RECEIPT FOR FACSIMILE TRANSMITTED CPA (To accompany a request for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) filed by facsimile transmission) |
| 1. ADDRESS | Applicant's Mailing Address for this receipt <u>must</u> be CLEARLY PRINTED or TYPED in the box below. | |
| Patent Counsel Applied Materials, Inc. P.O. Box 450A Santa Clara, CA 95052 | | |
| NOTE: By this receipt, the USPTO (a) acknowledges that a request for a CPA was filed by facsimile transmission on the date stamped below by the US PTO and (b) Verifies only that the application number provided by the applicant on this receipt is the same as the application number provided on the accompanying request for a CPA. This receipt CANNOT be used to acknowledge receipt of any paper(s) other than the request for a CPA. | | |
| 2. APPLICATION IDENTIFICATION: (Provide at least enough information to identify the application) | | |
| a. For prior application | | |
| Application No: 09/494,327 | | |
| Filing Date: January 29, 2000 | | |
| Title: METHOD AND APPARATUS FOR CLEANING A SEMICONDUCTOR WAFER PROCESSING SYSTEM | | |
| Attorney Docket No: 4209 | | |
| First Named Inventor: Kwan, et al. | | |
| b. For instant CPA application | | |
| New Attorney Docket No: _____ (if applicable) | | |
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PRELIMINARY AMENDMENT
Serial No. 09/494,327
Page 1 of 12

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: Kwan, et al.

Case: 4209

Serial No.: 09/494,327

Filed: January 28, 2000

Examiner: Markoff, Alexander

Group Art Unit: 1746

Title: METHOD AND APPARATUS FOR CLEANING A SEMICONDUCTOR
WAFER PROCESSING SYSTEM

COMMISSIONER FOR PATENTS
Box AF Amendment
Washington, DC 20231

S I R:

PRELIMINARY AMENDMENT ACCOMPANYING
CONTINUED PROSECUTION APPLICATION

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02/27/2003 ASINGLET 00000003 200782 09494327
01 FC:1201 In response to the Final Office Action dated November 4, 2002 (Paper No. 04-00 CH 13),

Having an extendable shortened statutory period for response set to expire on February 4, 2003, please enter this response accompanying a Continued Prosecution Application (CPA) and reconsider the claims pending in the application for reasons discussed below. The Commissioner is hereby authorized to charge counsel's Deposit Account No. 20-0782 for any fees, including filing fees, extension of time fees and excess claim fees, required to make this response timely and acceptable to the Office.

IN THE CLAIMS

A) Please replace the indicated claims as follows:

6. (Amended) A computer-readable medium having stored thereon a plurality of instructions, the plurality of instructions including instructions which,